

### WHAT ARE THE CHARGES?

The portfolio manager will take a 0.75% fee per annum on the asset-under-manager in the portfolio.

We typically take a 20% share of all returns over and above the hurdle rate (i.e. Excess income earned above the return benchmark agreed with the client) as incentive fee.

### HOW DO I MONITOR MY PORTFOLIO PERFORMANCE?

1. You will get a quarterly Account Statement and Portfolio Performance report in addition to our other research report.
2. You will also get a Once a month portfolio review meeting with your designated Portfolio Manager.

### HOW DO I SIGN UP?

1. Please call Lookman (08057841562) or Kemi (08086019771) and they will take you through the process.
2. Send a mail to [info@arthursteven.com](mailto:info@arthursteven.com) requesting to sign up documents or download from [www.arthursteven.com](http://www.arthursteven.com).
3. Join us on Facebook, Twitter and other social media
4. Visit our office to sign up.

PLEASE CONTACT ME ABOUT THIS PRODUCT

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

EMAIL ADDRESS \_\_\_\_\_

TELEPHONE NUMBER \_\_\_\_\_



**Arthur Stevens Asset Management Ltd.**

(MEMBER OF THE NIGERIAN STOCK EXCHANGE)

(First Floor), 26, McCarthy Street, Onikan, Lagos

Tel: +234(0)7098212936; 01-4548503

Website: [www.arthursteven.com](http://www.arthursteven.com)

[Info@arthursteven.com](mailto:Info@arthursteven.com)

Email: [arthurstevensmgt@gmail.com](mailto:arthurstevensmgt@gmail.com)

# ASAM HERITAGE EQUITY PORTFOLIOS





### WHY THIS PRODUCT?

More often than not investors put money in the stock market either without clearly stated goals or without adequate experience and know-how to effectively manage those stocks to meet those goals.

Most times the equity portfolio end up under performing or result in huge losses.

Equity Portfolio constructed with a clearly defined goal on mind and with the right approach and philosophy stands a better chance of meeting the investors aim.

### WHAT IS THIS PRODUCT ABOUT?

The aim of this product is to assist investors to construct and manage tailored equity portfolios with the right mix of approach and philosophy to achieve the investors scientifically determined goals

It also seeks to reconstruct existing equity portfolio to be more suited to the return and risk profile of the investors.

### HOW WILL IT WORK?

We will initially determine your aim and objective for equity investments in the first place before subsequently determining your risk/return profile by administering a set of analytical methodology.

The result of the analysis will enable us construct a model equity portfolio for you.

The portfolio is then managed with the chosen approach on a discretionary basis within the agreed investment timeline.

You will get quarterly updates/statements as well as Managers brief within the investment period.

### WHAT IS THE INVESTMENT TIME HORIZON?

1. The Investment Time horizon is typically One year with an

option to extend the tenor for another period of one year upon expiration.

### WHAT IS THE TYPICAL AGREED RETURNS BENCHMARK?

1. The return benchmark to be chosen will vary from between portfolios subject to the risk/return profile of the client amongst other factors. The portfolios will however seek to at least out-perform the NSE All-share Index by 5% on a yearly basis.

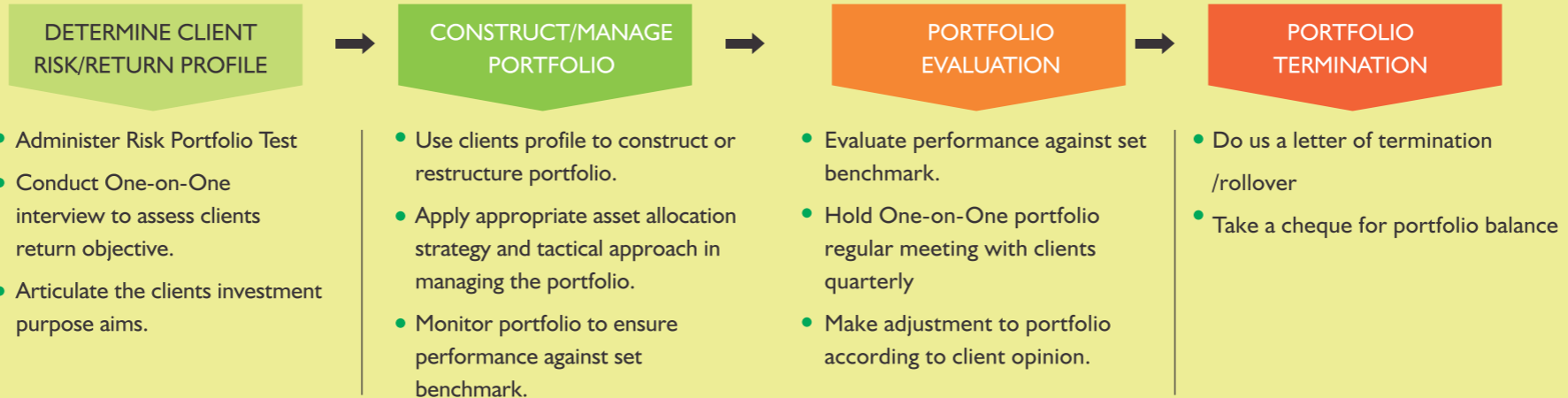
### IS THERE A GUARANTEED RETURN?

The portfolio does not come with a guaranty of either principal or expected Income since the funds will be invested primarily in an Asset with relatively high volatility. However ASAM will bring its experience and know-how and apply the prudent-man principle to ensure capital preservation and achievement of set objectives.

### WHAT IS THE INVESTMENT PROCESS?

1. Fill out our clients profile return to us.
2. Execute our Equity Portfolio Management Agreement.
3. Take the Risk Tolerance Determination Test.
4. Give us your cheque for sum to be invested or move your stock portfolio to us from another stock broking firm.
5. Minimum investment sum is N3M.
6. Minimum Investment tenor is One year.
7. Upon expiration you will be paid the Naira balance of portfolio consideration after taking all charges. This will include sum invested and earned income.
8. You can also choose to keep all or some of the securities in the portfolio at the end of the tenor.

## EQUITY PORTFOLIO MANAGEMENT PROCESS



- o Write a cheque or do bank transfer for the sum you intend to Invest or give a standing instruction to your bank to transfer a regular sum monthly to our designated bank account.
- o You will be issued with investment certificate stating the portfolio Management terms.
- o Upon expiration of investment tenor, your funds is either reinvested or terminated into your stockbroking with us depending on the instruction you leave with us..

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**ASAM**  
**PRESTIGE**  
**FIXED INCOME**  
**PORTFOLIOS**





### WHY THIS PRODUCT?

Most investors have funds sitting in deposit, current and savings accounts that are either delivering meagre to nil returns and are actually been devalued due to inflation.

The funds are in these accounts primarily for 3 reasons:

- a.) The monies is there awaiting consumption
- b.) The monies are probably too small for it to earn reasonable interest if placed in traditional fixed deposit account.
- c.) The monies come in by accumulation i.e. from salaries and the like so takes time to become a bulk investible sum.
- d.) The expected consumption time is probably too short for it to be invested in Long term fixed Income instruments.

This product seeks to satisfy the above criteria as well as deliver competitive return on investment to ease inflation fears.

### WHAT IS THE AIM?

The ASAM prestige portfolios seek to deliver on the above objectives by constructing and managing an investment portfolio that deliver superior returns on investor's funds while ensuring safety and liquidity.

The rate of return on the portfolio is typically tied to broad money market rate. Clients are able to take out of their deposit at any time within the investment period with a 7days notice. Clients can also use the portfolio as collateral for short term facility with ASAML or other financial institutions.

The safety of the portfolio is enhanced as the underlying assets of the portfolio are mostly Federal Government Bonds and Treasury bills. The portfolio is structured such that the underlying instruments could revert to the clients in case of systemic shock that affects the portfolio manager.

### HOW DO WE DESCRIBE THIS PORTFOLIO?

A portfolio that seeks to invest and professionally manage a basket of assets, primarily fixed income securities to deliver superior return relative to risk when compared to other investment outlets.

### WHO SHOULD INVEST IN THIS FUND PORTFOLIO?

- o Risk adverse investors seeking safety but better returns on investment.

- o Investors that do not have the size of investment that traditional deposit institution are willing to take but will like to earn good returns.
- o Investors looking to invest on an accumulative basis over a period of time for a particular purpose at a good return rate.
- o Investors looking to include fixed income assets in their portfolios.

### WHAT IS THE PRODUCT VALUE PROPOSITION?

This product will enable investors to:

- o Invest relatively low quantum of funds in a portfolio that can deliver close to the returns enjoyed on fixed income instruments presently.
- o The portfolio will enable easy entry and exit with adequate notice.
- o The portfolio will have specific asset, mostly fixed income securities as its underlying assets.
- o You can add to the investment while it is still running.

### WHAT IS THE MINIMUM INVESTMENT SUM & PERIOD?

The portfolio will accept a minimum of N5M for tenors of at least 180 days.

### HOW DO I KNOW MY MONEY IS SAFE?

The portfolio will have various asset, mostly Federal Government fixed income instruments such as FGN Bond & Treasury Bills as its underlying assets. The portfolio is also structured such that in the case of systemic shock that affects the portfolio manager the instrument reverts back to the portfolio holders.

### WHAT IS THE INVESTMENT PROCESS?

- o Fill out our "Know your Client" form with all necessary document
- o Execute the Fixed Income Portfolio Management Agreement and transfer the investment sum to our designated Bank Account.
- o Take the risk profile determination survey.

